

# 7th IIC-EMTCCM

European Master in Theoretical  
Chemistry and Computational  
Modelling

7<sup>th</sup> International Intensive Course



## Lecture 2

### Magnetic Field:

Classical Mechanics  
Magnetism: Landau levels  
Aharonov-Bohm effect  
Magneto-translations

Josep Planelles

# Classical Mechanics: an overview

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**Newton's Law**

$$\frac{d}{dt}p - F = 0$$

**Conservative systems**

$$V = V(q)$$

$$F = -\frac{\partial V}{\partial q}$$

**Lagrange  
equation**

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) - \frac{\partial L}{\partial q} = 0$$

$$L = T - V$$

$$p = \frac{\partial L}{\partial \dot{q}}$$

# Velocity-dependent potentials

**Time-independent field**

$$\vec{B}(x, y, z)$$

$$\vec{F} = e (\vec{v} \wedge \vec{B})$$

**No magnetic monopoles**

$$\int_S \vec{B} d\vec{S} = 0$$

$$\vec{\nabla} \vec{B} = 0$$

$$\vec{B} = \vec{\nabla} \wedge \vec{A}$$

$$\vec{A}(x, y, z)$$

$$\vec{F} = e (\vec{v} \wedge \vec{B}) = e (\vec{v} \wedge \vec{\nabla} \wedge \vec{A})$$

$$(\vec{\nabla} \wedge \vec{A})_x = (\partial_y A_z - \partial_z A_y)$$

$$(\vec{v} \wedge \vec{\nabla} \wedge \vec{A})_x = \frac{\partial(\vec{v} \cdot \vec{A})}{\partial x} - \sum_{x,y,z} v_i \left( \frac{\partial A_x}{\partial q_i} \right) = \frac{\partial(\vec{v} \cdot \vec{A})}{\partial x} - \frac{d A_x}{d t}$$

## Velocity-dependent potentials: cont.

$$F_x = e (\vec{v} \wedge \vec{\nabla} \wedge \vec{A})_x = e \left[ \frac{\partial(\vec{v} \cdot \vec{A})}{\partial x} - \frac{d A_x}{dt} \right]$$

**Define:**  $U = -e (\vec{v} \cdot \vec{A}) \Rightarrow \frac{\partial U}{\partial \dot{x}} = -e A_x$

$$F_x = -\frac{\partial U}{\partial x} + \frac{d}{dt} \frac{\partial U}{\partial \dot{x}}$$

$$L = T - U$$

$$F_x = \dot{\pi}_x = \frac{d}{dt} \left( \frac{\partial T}{\partial \dot{x}} \right)$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) - \left( \frac{\partial L}{\partial x} \right) = 0$$

## Velocity-dependent potentials: cont.

**kinematic momentum:**

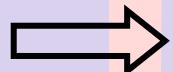
$$\pi_x = \frac{\partial T}{\partial \dot{x}} = m \dot{x}$$

**canonical momentum:**

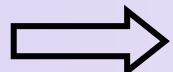
$$p_x = \frac{\partial L}{\partial \dot{x}} = \frac{\partial T}{\partial \dot{x}} - \frac{\partial U}{\partial \dot{x}} = \pi_x + e A_x$$

**Hamiltonian:**

$$H = \sum_{i=x,y,z} p_i \dot{x}_i - L = \sum_{i=x,y,z} (\pi_i \dot{x}_i + e \dot{x}_i A_i) - (T - U)$$



$$H = (2T - U) - (T - U) = T = \frac{\pi^2}{2m}$$



$$H = \frac{1}{2m} (p - e A)^2$$

## Conservative systems: $\mathbf{V(x,y,z)}$ & $\mathbf{L = T - V}$

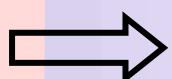
**canonical  
momentum:**

$$p_x = \frac{\partial L}{\partial \dot{x}} = \frac{\partial T}{\partial \dot{x}} - \frac{\partial V}{\partial \dot{x}} = \frac{\partial T}{\partial \dot{x}} = \pi_x$$

**kinematic  
momentum:**

**Hamiltonian:**

$$H = \sum_{i=x,y,z} p_i \dot{x}_i - L = \sum_{i=x,y,z} \pi_i \dot{x}_i - (T - V) = 2T - (T - V)$$



$$H = T + V = \frac{\pi^2}{2m} + V \equiv \frac{p^2}{2m} + V$$



$$H = \frac{p^2}{2m} + V$$

# Gauge

$$B = \nabla \wedge A_1 \quad ; \quad A = A_1 + \nabla \chi \quad \longrightarrow \quad B = \nabla \wedge A$$

$$\nabla \wedge (\nabla \chi) = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \partial_x & \partial_y & \partial_z \\ \partial_x \chi & \partial_y \chi & \partial_z \chi \end{vmatrix} = 0$$



We *may select*  $\chi$ :

$$\nabla(A_1 + \nabla \chi) = \nabla A = 0$$

**Gauge Coulomb:**

$$\boxed{\nabla A = 0}$$

## Hamiltonian (coulomb gauge)

$$H = \frac{1}{2m} (p - e A)^2 ; \quad \hat{p} \rightarrow -i\hbar\nabla$$

$$H = \frac{1}{2m} (-i\hbar\nabla - e A)^2 = \frac{\hbar^2}{2m} \nabla^2 + \frac{i\hbar}{2m} e (\nabla A + A \nabla) + \frac{e^2}{2m} A^2$$


$$H = \frac{\hbar^2}{2m} \nabla^2 + \frac{i\hbar}{m} e A \nabla + \frac{e^2}{2m} A^2$$


$$\rightarrow H = \frac{\hat{p}^2}{2m} - \frac{e}{m} A \cdot \hat{p} + \frac{e^2}{2m} A^2$$

# Axial magnetic field $\mathbf{B}$ & coulomb gauge

$$\mathbf{A} = (-1/2 y B_0, 1/2 x B_0, 0)$$

$$\nabla \wedge \mathbf{A} = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ \partial_x & \partial_y & \partial_z \\ -1/2 y B_0 & 1/2 x B_0 & 0 \end{vmatrix} = B_0 \vec{k} \quad ;$$

$$\nabla \cdot \mathbf{A} = \partial_x(-1/2 y B_0) + \partial_y(1/2 x B_0) + \partial_z(0) = 0 \quad \text{gauge}$$

$$\mathbf{A} = (-1/2 y B_0, 1/2 x B_0, 0)$$
$$p = -i\hbar\nabla$$

$$\Rightarrow A^2 = \frac{1}{4} B_0^2 (x^2 + y^2) = \frac{1}{4} B_0^2 \rho^2$$



$$\Rightarrow \mathbf{A} \cdot \hat{\mathbf{p}} = -\frac{1}{2} i\hbar B_0 \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) = \frac{1}{2} B_0 \hat{L}_z$$

# Hamiltonian: axial magnetic field $B$ & coulomb gauge

$$H = \frac{\hat{p}^2}{2m} - \frac{e}{m} A \cdot \hat{p} + \frac{e^2}{2m} A^2 ; \quad \left\{ \begin{array}{l} A \cdot \hat{p} = -\frac{1}{2}i\hbar B_0(x\frac{\partial}{\partial y} - y\frac{\partial}{\partial x}) = \frac{1}{2}B_0\hat{L}_z \\ A^2 = \frac{1}{4}B_0^2(x^2 + y^2) = \frac{1}{4}B_0^2\rho^2 \end{array} \right.$$

$$\hat{H} = -\frac{\hbar^2}{2m}\nabla^2 + \frac{e^2 B_0^2}{8m}\rho^2 - \frac{e B_0}{2m}\hat{L}_z ; \quad \omega = -\frac{eB}{2m}$$

→

$$\boxed{\hat{H} = -\frac{\hbar^2}{2m}\nabla^2 + \frac{1}{2}m\omega^2\rho^2 + \omega\hat{L}_z}$$

$\omega_c = 2\omega$  *Cyclotron frequency*

# Electron in a magnetic field

$$\hat{\mathcal{H}} = \frac{(\hat{p} - e\vec{A})^2}{2m_e} + V$$

$$\vec{B} = B_0 \vec{k} \quad \vec{A} = (-\frac{1}{2}y B_0, \frac{1}{2}x B_0, 0)$$

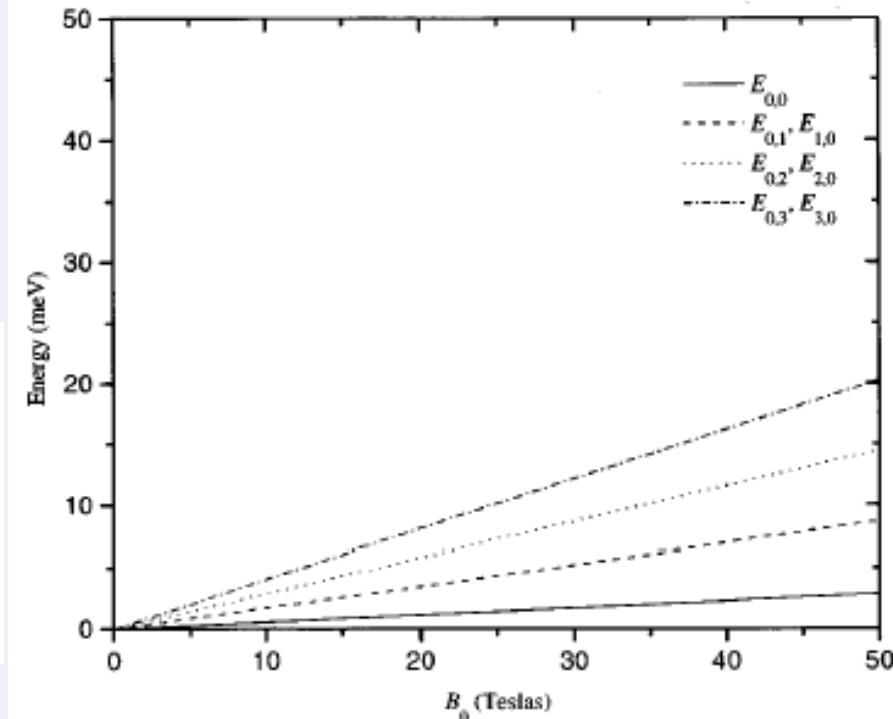
$$\begin{aligned}\hat{\mathcal{H}} &= -\frac{\hbar^2}{2m_e} \nabla^2 - \frac{eB}{2m_e} \hat{L}_z + \frac{e^2 B^2}{8m_e} \rho^2 + V \\ &= \frac{\hat{p}_z^2}{2m_e} + \hat{\mathcal{H}}_{HO}^{2D} - \frac{eB}{2m_e} \hat{L}_z + V\end{aligned}$$

$$E_{HO}^{2D} = (2n + |M| + 1) \omega$$

$$\hat{H}' = \frac{B \hat{L}_z}{2m} \quad E' = \frac{B}{2m} M = \omega M$$

$$[\hat{H}_{HO}^{2D}, \hat{H}'] = 0$$

$$E(n, M) = (2n + |M| + M + 1) \omega$$



Rosas et al. AJP 68 (2000) 835

- Landau levels
- No crossings

# Confined electron pierced by a magnetic field

Spherical confinement, axial symmetry

$$\hat{\mathcal{H}} = \frac{(\hat{p} - eA)^2}{2m_e} + V$$

$$\vec{B} = B_0 \vec{k}$$

$$\vec{A} = (-\frac{1}{2}y B_0, \frac{1}{2}x B_0, 0)$$

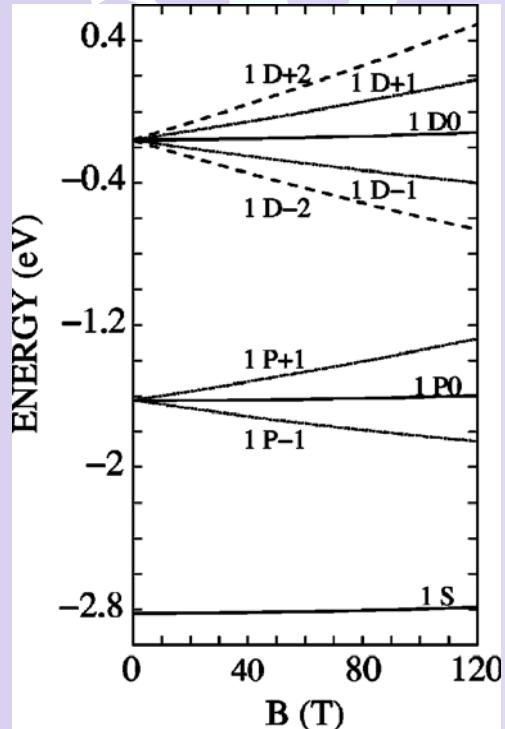
$$\hat{\mathcal{H}} = -\frac{\hbar^2}{2m_e} \nabla^2 - \frac{eB}{2m_e} \hat{L}_z + \frac{e^2 B^2}{8m_e} \rho^2 + V(\rho, z)$$

$$\Psi(\rho, z, \phi) = \Phi_{n,M} e^{iM\phi} \quad |e| = \hbar = 1$$

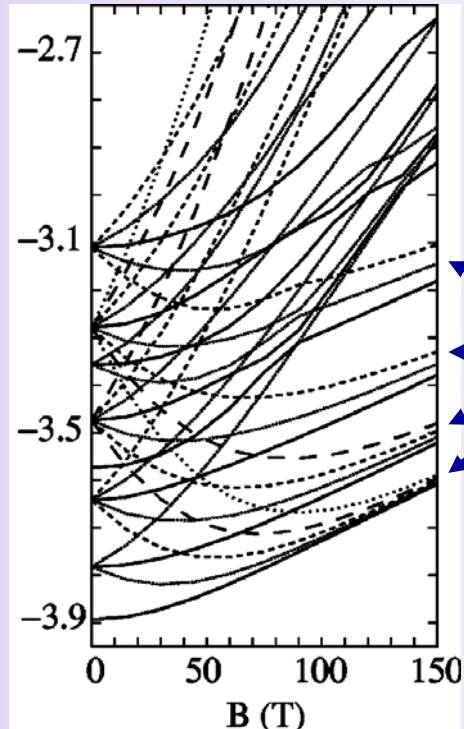
$$\left( -\frac{1}{2m_e} \nabla^2 + \frac{B^2}{8m_e} \rho^2 + \frac{BM}{2m_e} + V_e(\rho, z) \right) \Phi_{n,M} = E_{n,M} \Phi_{n,M}$$

Competition: quadratic vs. linear term

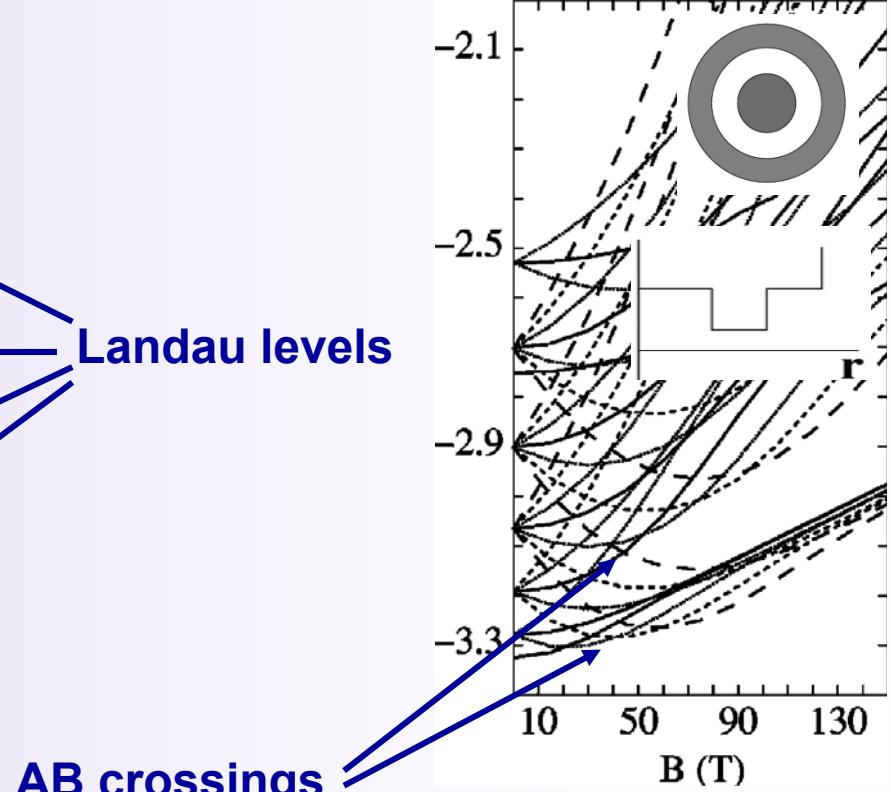
# Electron in a spherical QD pierced by a magnetic field



Electron energy levels,  
 $nLM$ ,  $R = 3 \text{ nm}$  InAs NC



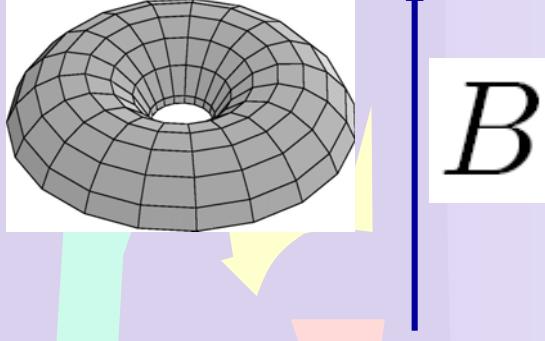
Electron energy levels,  
 $nLM$ ,  $R = 12 \text{ nm}$  InAs NC



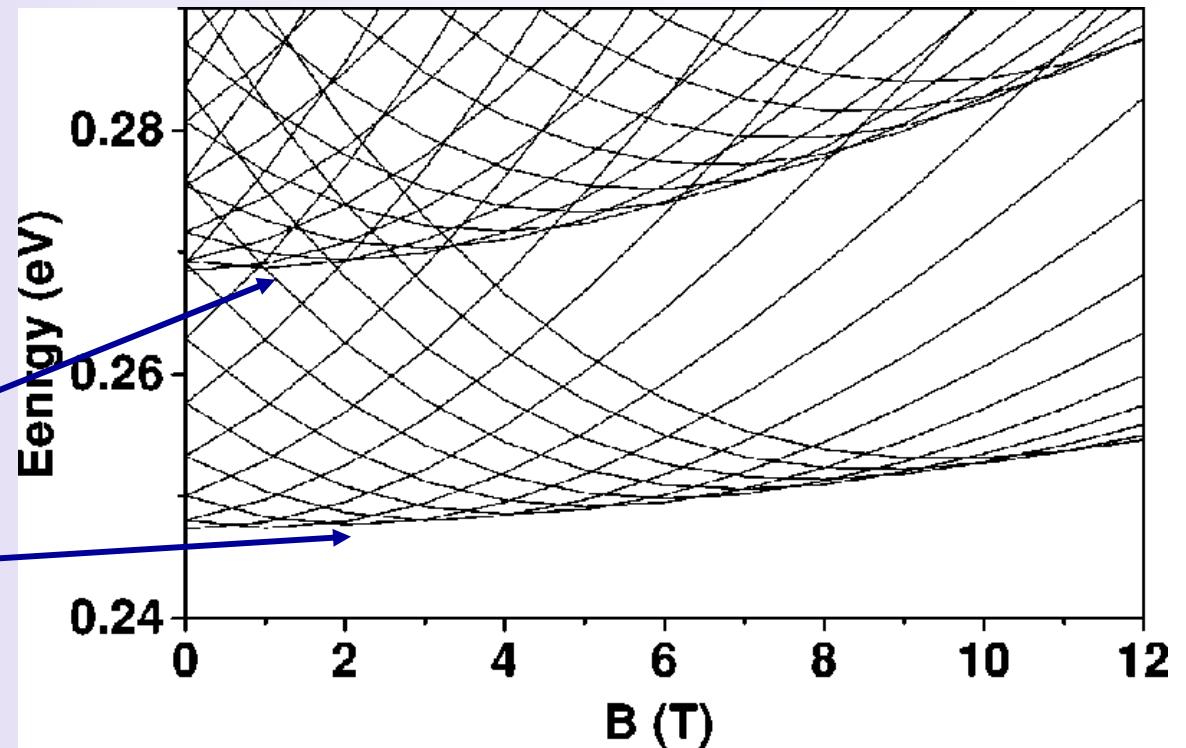
Electron energy levels,  $nLM$ ,  
GaAs/InAs/GaAs QDQW

# Electron in a QR pierced by a magnetic field

$$\left( -\frac{1}{2m_e} \nabla^2 + \frac{B^2}{8m_e} \rho^2 + \frac{BM}{2m_e} + V_e(\rho, z) \right) \Phi_{n,M} = E_{n,M} \Phi_{n,M}$$



AB crossings



Electron energy levels ( $n=1, M$ ) ( $n=2, M$ ), of a InAs QR  
Dimensions:  $r = 10$ ,  $R=60$ ,  $h=2$   
J. Planelles ,W. Jaskólski, and I. Aliaga, PRB 65 (2001) 033306

# Peierls/Berry phase

R. Peierls, Z. Phys. **80** (1933) 763;  
M. Graf & P. Volg, PRB **51** (1995) 4940  
M.V. Berry, Proc. R. Soc. A **392** (1984) 45.  
R. Resta, JPCM **12** (2000) R107;

$$\mathcal{H}_0 = \frac{p^2}{2m}$$

$$\{E_n^0, \Phi_n^0\}$$

$$\mathcal{H} = \frac{(p+A)^2}{2m}$$

$$\{E_n, \Psi_n\}$$

A **phase in** is introduced in the wf by the magnetic field:  $F(\mathbf{r}) = \int_0^{\mathbf{r}} \mathbf{A}(r') dr' \leftrightarrow \nabla F = \mathbf{A}$

Choose:  $\Psi(\mathbf{r}) = \exp[-iF(\mathbf{r})] \chi(\mathbf{r})$

$$(-i\nabla + A) \exp[-iF(\mathbf{r})] \chi(\mathbf{r}) = \exp[-iF(\mathbf{r})] (-i\nabla \chi(\mathbf{r})) \quad \rightarrow$$

$$(-i\nabla + A)^2 \exp[-iF(\mathbf{r})] \chi(\mathbf{r}) = \exp[-iF(\mathbf{r})] (-\nabla^2 \chi(\mathbf{r}))$$

$$\frac{1}{2m} (-i\nabla + A)^2 \exp[-iF(\mathbf{r})] \chi(\mathbf{r}) = E \exp[-iF(\mathbf{r})] \chi(\mathbf{r})$$

$$\longrightarrow -\frac{1}{2m} \nabla^2 \chi = E \chi,$$

BCS

# Peierls/Berry phase cont

**Peierls substitution:**

$$\Phi(\mathbf{r}) \rightarrow \exp \left[ -i \int_0^{\mathbf{r}} \mathbf{A}(r') d\mathbf{r}' \right] \Phi(\mathbf{r})$$

**Hamiltonian substitution:**

$$\mathcal{H} = \exp[-iF] \mathcal{H}_0 \exp[iF]$$

$$\nabla(\exp[iF]\Phi) = \exp[iF]\nabla\Phi + \Phi \exp[iF]\nabla F = \exp[iF]\nabla\Phi + i A \exp[iF]\Phi$$

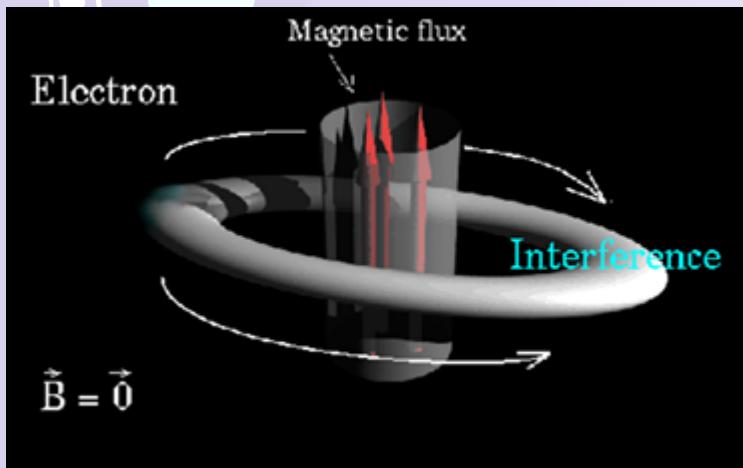
$$-\nabla^2(\exp[iF]\Phi) = \exp[iF](-\nabla^2\Phi + 2iA\nabla\Phi + A^2\Phi) = \exp[iF](-i\nabla + A)^2\Phi$$

$$\exp[-iF] \left[ -\frac{1}{2m} \nabla^2 + V \right] \exp[iF]\Phi = \exp[-iF] \exp[iF] \left[ \frac{1}{2m} (-i\nabla + A)^2 + V \right] \Phi$$

$$= \left[ \frac{1}{2m} (-i\nabla + A)^2 + V \right] \Phi$$

# Aharonov-Bohm Effect

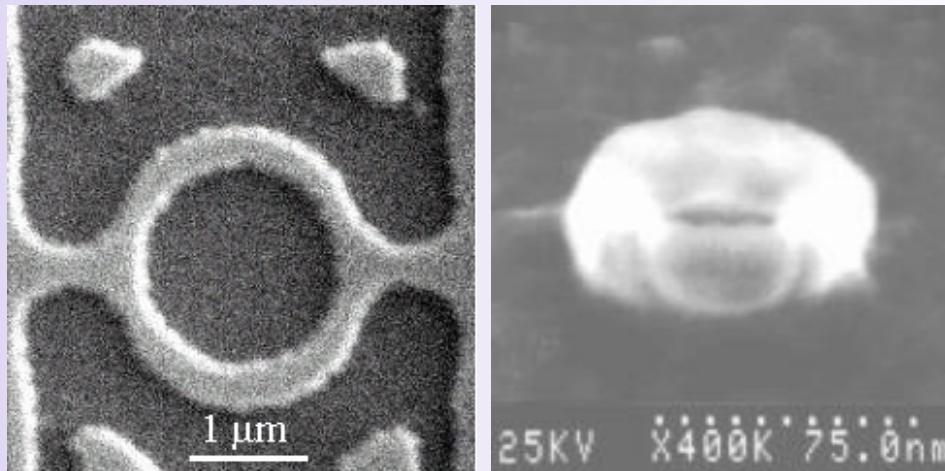
Y. Aharonov, D. Bohm, Phys. Rev. **115** (1959) 485



- Classical mechanics: equations of motion can always be expressed in term of field alone.
- Quantum mechanics: canonical formalism. Potentials cannot be eliminated.
- An electron can be influenced by the potentials even if no fields acts upon it.
- Berry's phase (gauge dependent)
- $\oint A dl$  Gauge independent

# Semiconductor Quantum Rings

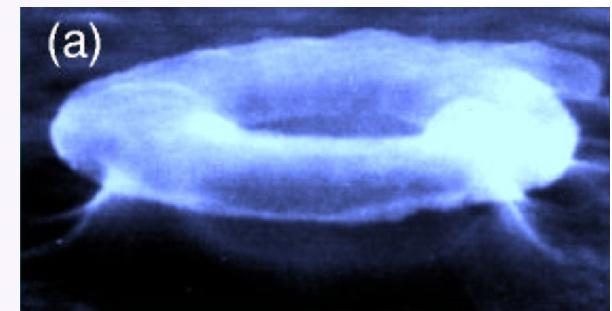
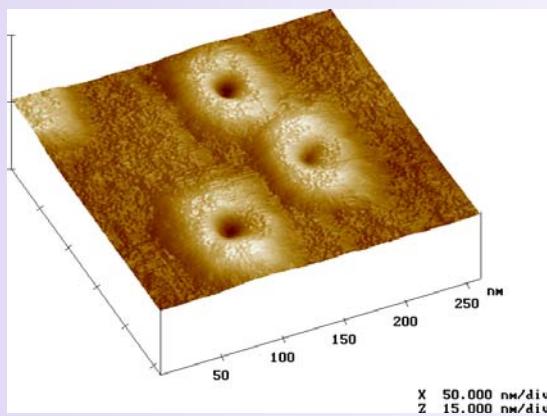
Lithographic rings  
GaAs/AlGaAs



*A. Fuhrer et al., Nature 413 (2001) 822;*

*M. Bayer et al., Phys. Rev. Lett. 90 (2003) 186801.*

self-assembled rings  
InAs

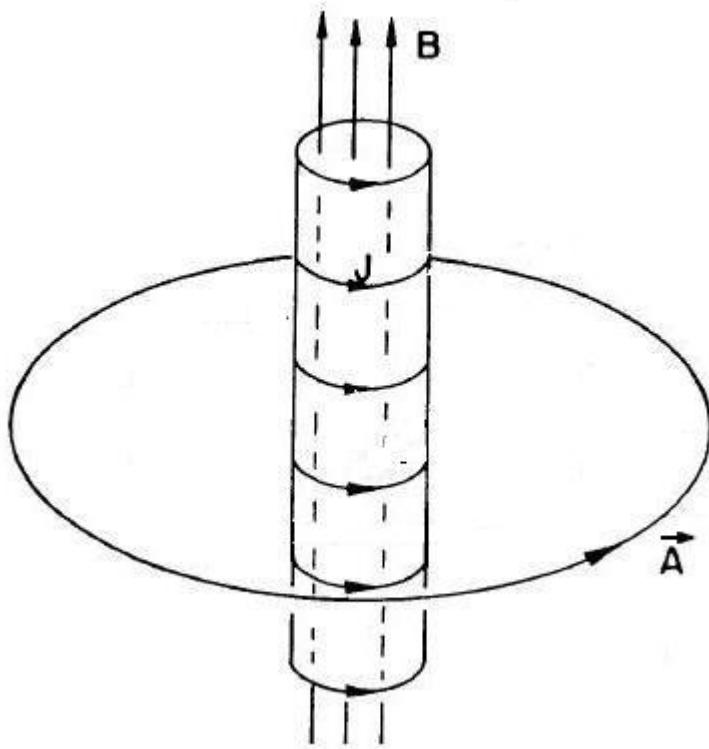


*J.M. García et al., Appl. Phys. Lett. 71 (1997) 2014*

*T. Raz et al., Appl. Phys. Lett. 82 (2003) 1706*

*B.C. Lee, C.P. Lee, Nanotech. 15 (2004) 848*

# Example 1: Quantum ring 1D



$$\vec{A} = A_\phi \vec{u}_\phi = \begin{cases} \frac{1}{2} B \rho \vec{u}_\phi & 0 < \rho < a \\ \frac{Ba^2}{2\rho} \vec{u}_\phi & a < \rho < \infty \end{cases}$$

$$F(\mathbf{r}) = \int_0^r \mathbf{A}(r') dr' = \int_0^\theta \frac{Ba^2}{2\rho} \rho d\phi = \frac{B\pi a^2}{2\pi} \theta = F\theta$$

$$\Psi(\theta) = e^{-iF\theta} e^{iM\theta}$$

$$\text{BCs: } \Psi(\theta + 2\pi) = \Psi(\theta)$$

$$E_M = \frac{M^2}{2I}$$

No magnetic field:  $M = 0 \pm 1 \pm 2 \dots \in \mathcal{Z}$

With field:  $M = F, F \pm 1, F \pm 2 \dots \in \mathcal{R}$

# Electron in a potential vector but no magnetic field

$B = B_0$  if  $0 < \rho < a$  and  $B = 0$  otherwise

$$\vec{A} = A_\phi \vec{u}_\phi = \begin{cases} \frac{1}{2} B \rho \vec{u}_\phi & 0 < \rho < a \\ \frac{Ba^2}{2\rho} \vec{u}_\phi & a < \rho < \infty \end{cases}$$

$$\hat{\mathcal{H}} = \frac{(\hat{p} - eA)^2}{2m_e} + V$$

$$\hat{\mathcal{H}} = -\frac{\hbar^2}{2m_e} \nabla^2 + \frac{i\hbar e}{m_e} \frac{Ba^2}{2\rho^2} \frac{\partial}{\partial \phi} + \frac{e^2 B^4 a^4}{8m_e \rho^4} + V$$

$$\frac{\partial}{\partial \phi} \rightarrow \frac{\partial}{\partial \phi} - \frac{i e B a^2}{2 \hbar} = \frac{\partial}{\partial \phi} + i \frac{\Phi}{\Phi_0}$$

# Aharonov-Bohm Effect

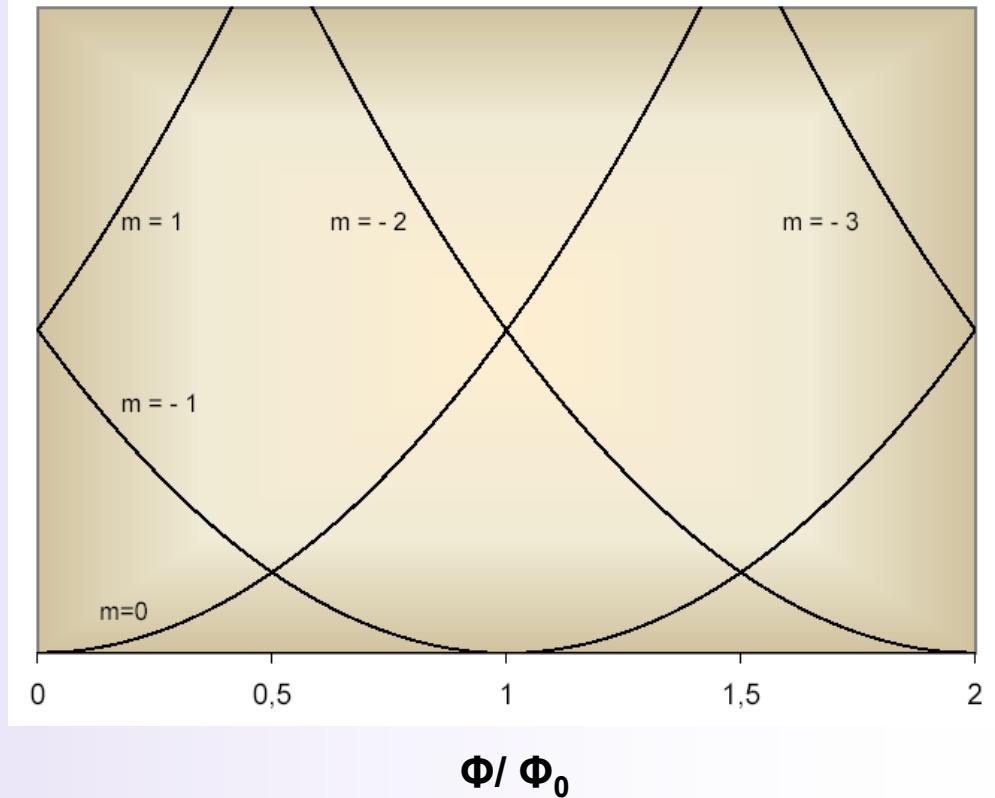
1D QR

$$\hat{\mathcal{H}} = -\frac{\hbar^2}{2m_e R^2} \left( \frac{\partial}{\partial \phi} + i \frac{\Phi}{\Phi_0} \right)^2$$

$$E_m = \frac{1}{2}(m + F)^2$$

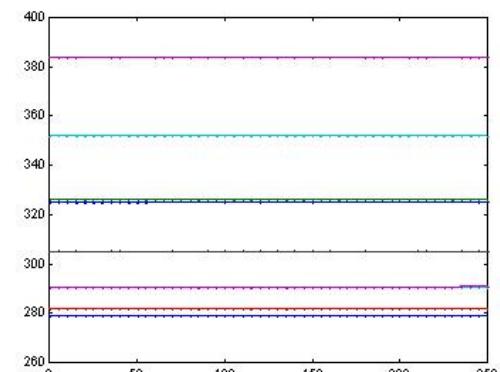
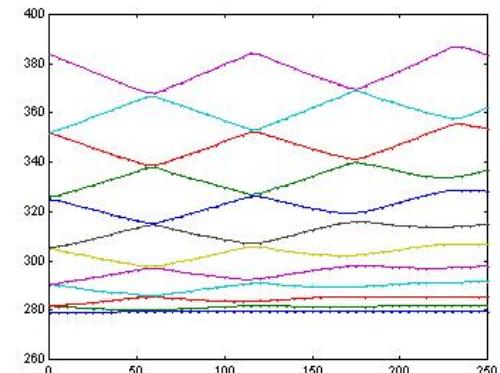
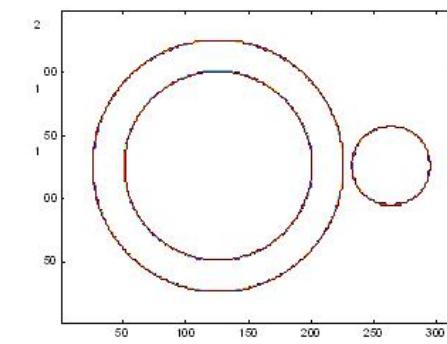
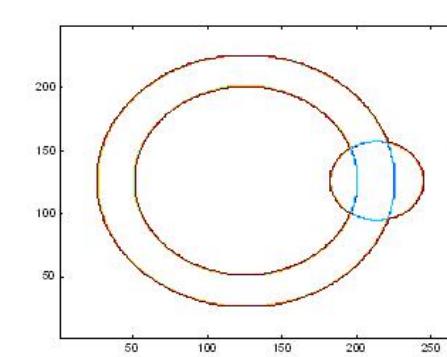
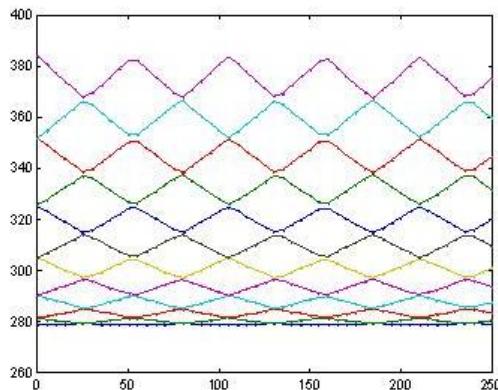
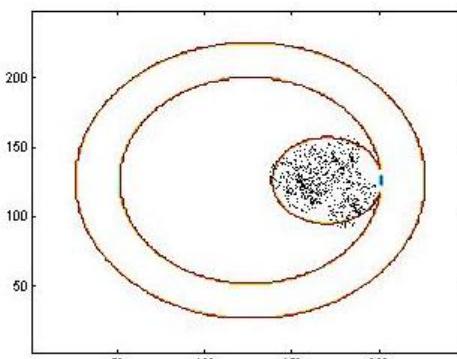
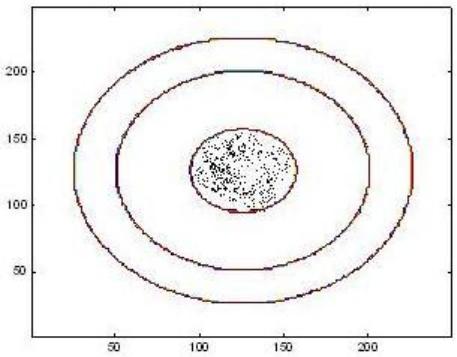
$$m = 0 \pm 1 \pm 2 \dots \in \mathbb{Z}$$

E

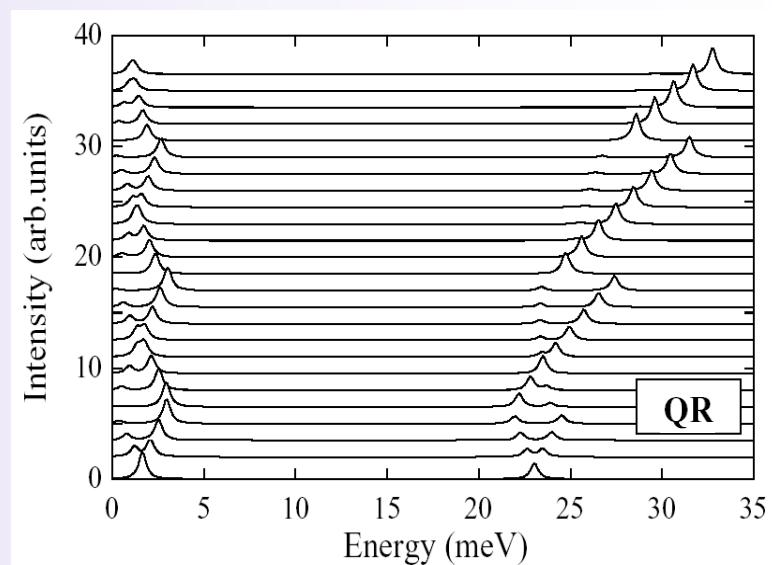
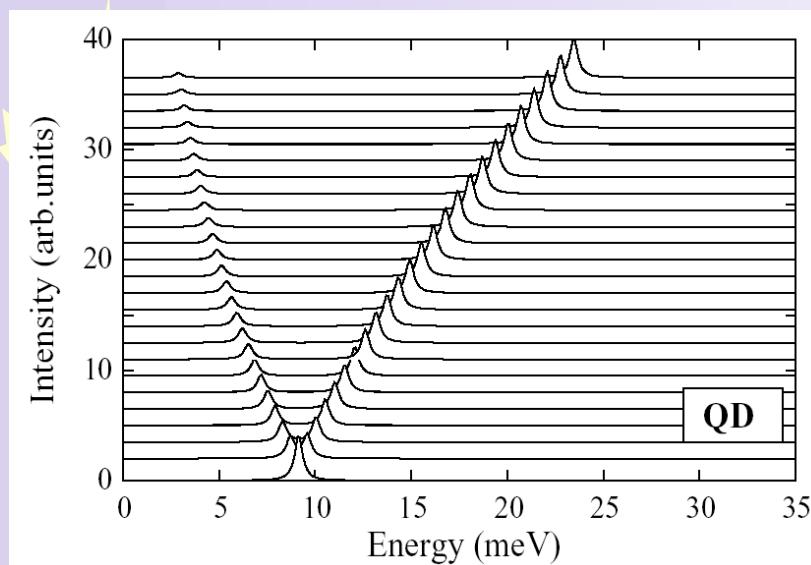
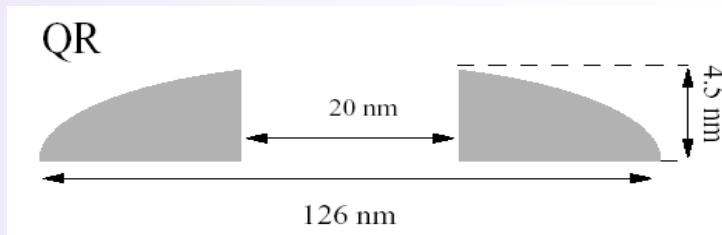
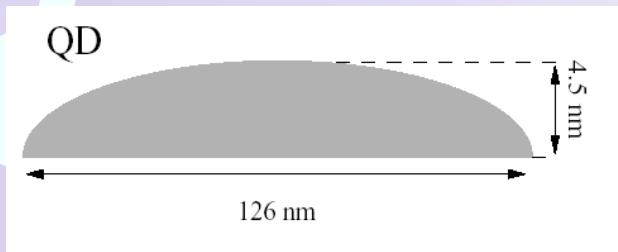


- Periodic symmetry changes of the energy levels
- Energetic oscillations
- Persistent currents

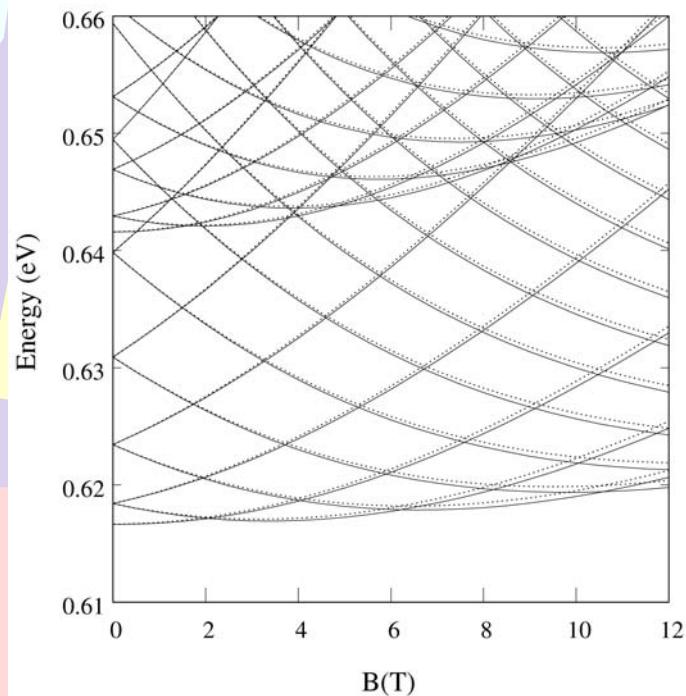
# Some 2D calculations: off-centering



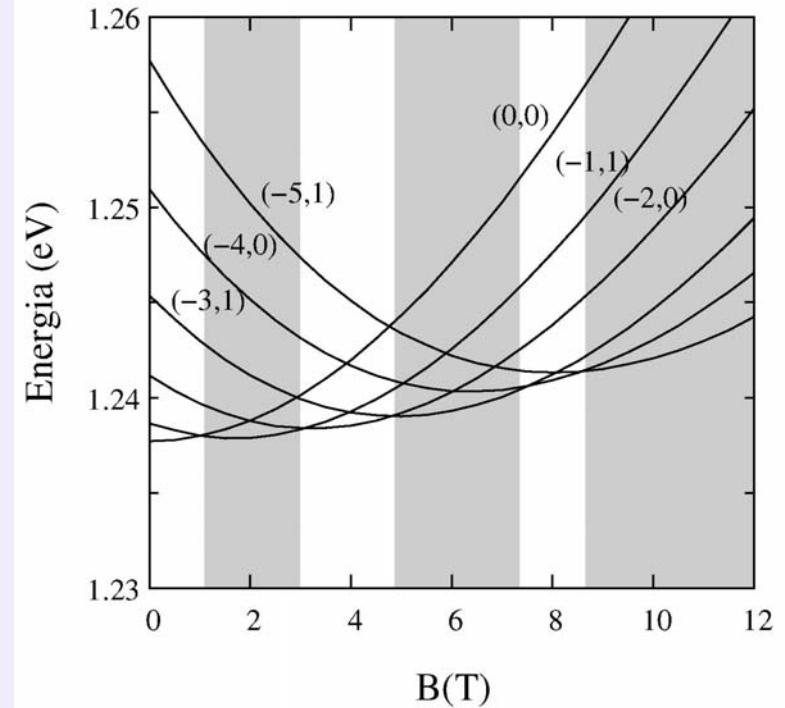
# FIR absorption of one electron in QD and QR



# Fractional Aharonov-Bohm Effect



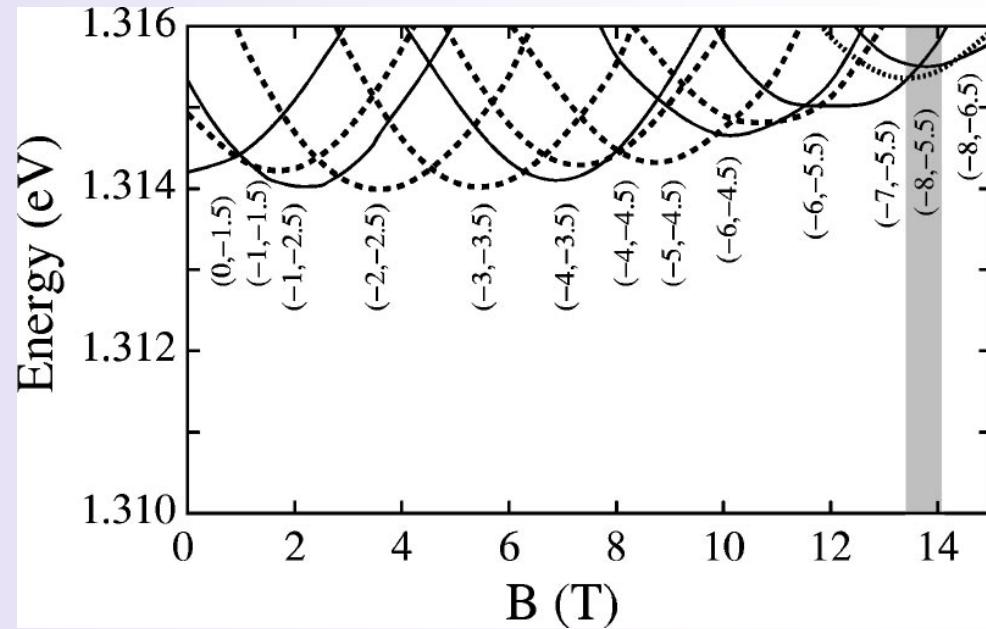
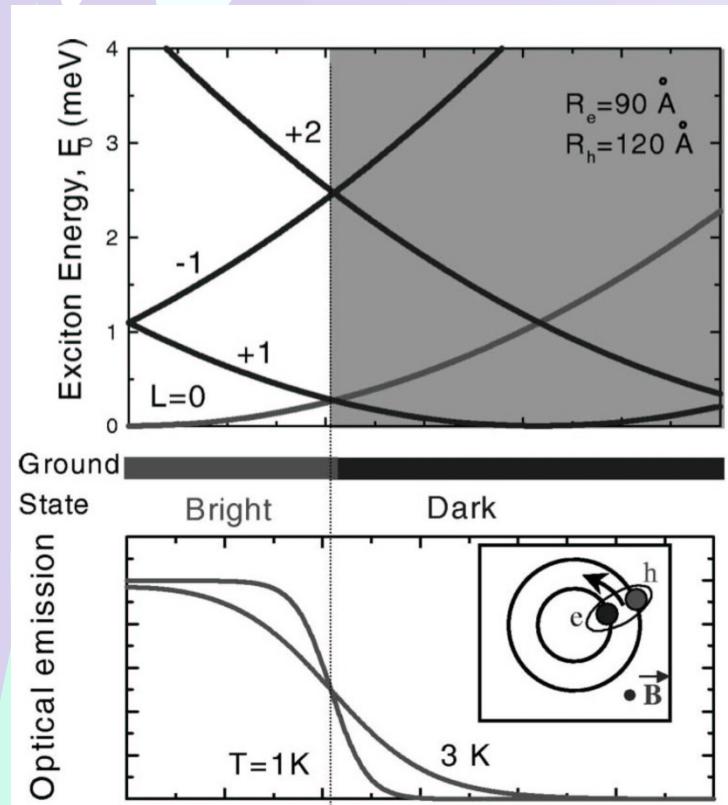
1 electron



2 electrons coulomb  
interaction

# Optic Aharonov-Bohm effect

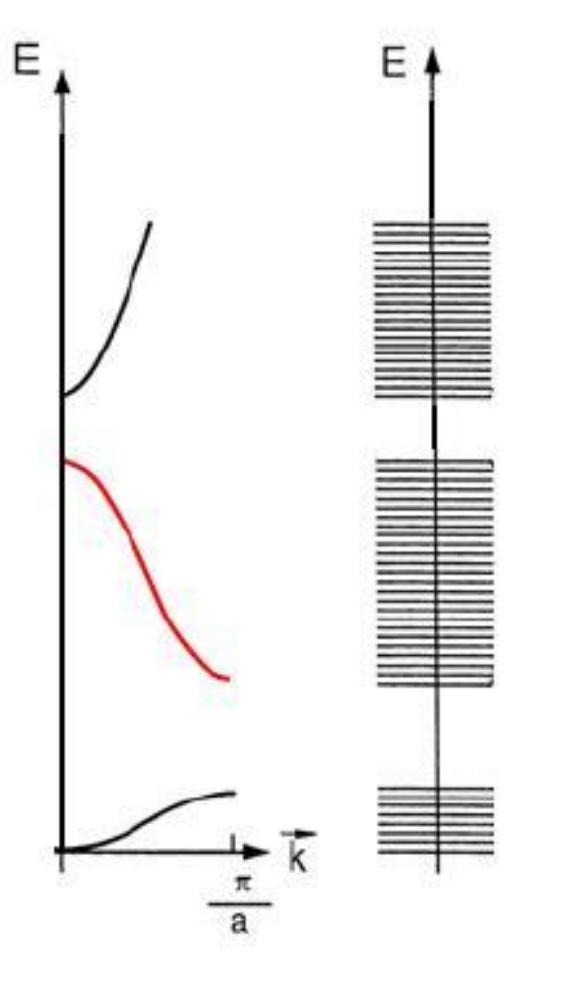
The Aharonov-Bohm effect leads to changes in electron and hole symmetry at different B values:



J. Climente, J. Planelles and W. Jaskólski, Phys. Rev. B 68 (2003) 075307

**Observed in stacked type II QDs:** Kuskovsky et al . Phys. Rev. B 76 (2007) 035342; Sellers et al PRL 100, 136405 (2008).

# Translations and magneto-translations I

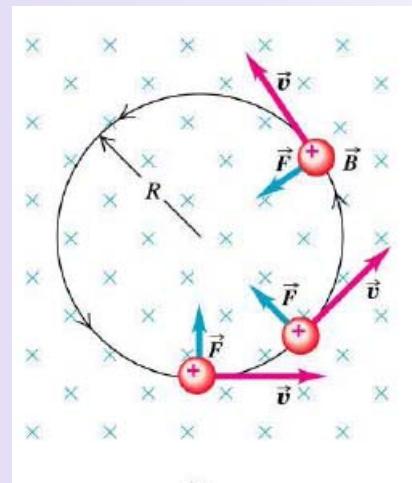


$$-\frac{\hbar^2}{2m} \frac{d^2 u(x)}{dx^2} + V(x)u(x) = Eu(x)$$

$$[\hat{T}_a, \hat{\mathcal{H}}] = 0 \quad \hat{T}_a = e^{-iap\hat{p}}$$

$$u(x) = u(x + a).$$

**Simultaneous eigenfunctions:  
Bloch functions**



**Switching the magnetic field on:  
No translational symmetry**

**Though, can we get Bloch functions?**

## Translations and magneto-translations II

$$\left. \begin{aligned} \hat{T}_m(\mathbf{r}_0) &= \exp[-i\mathbf{r}_0 \cdot (\mathbf{p} - \mathbf{A})] \\ \hat{\mathcal{H}} &= \frac{1}{2m}(\mathbf{p} + \mathbf{A})^2 + V(x) \end{aligned} \right\} \text{Commute .... but}$$

$$[\hat{T}_m(\mathbf{R}_1), \hat{T}_m(\mathbf{R}_2)] = 2i \sin\left(\frac{\phi}{2}\right) T_m(\mathbf{R}_1 + \mathbf{R}_2)$$

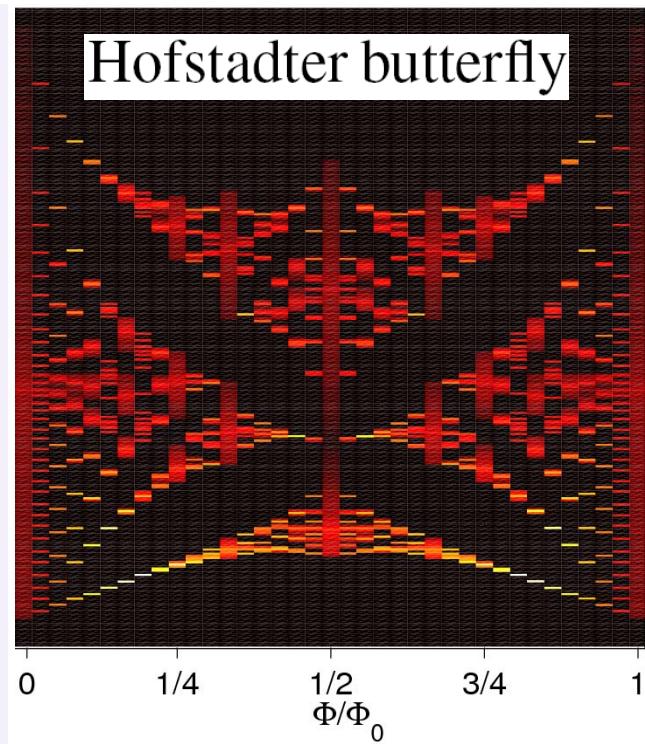
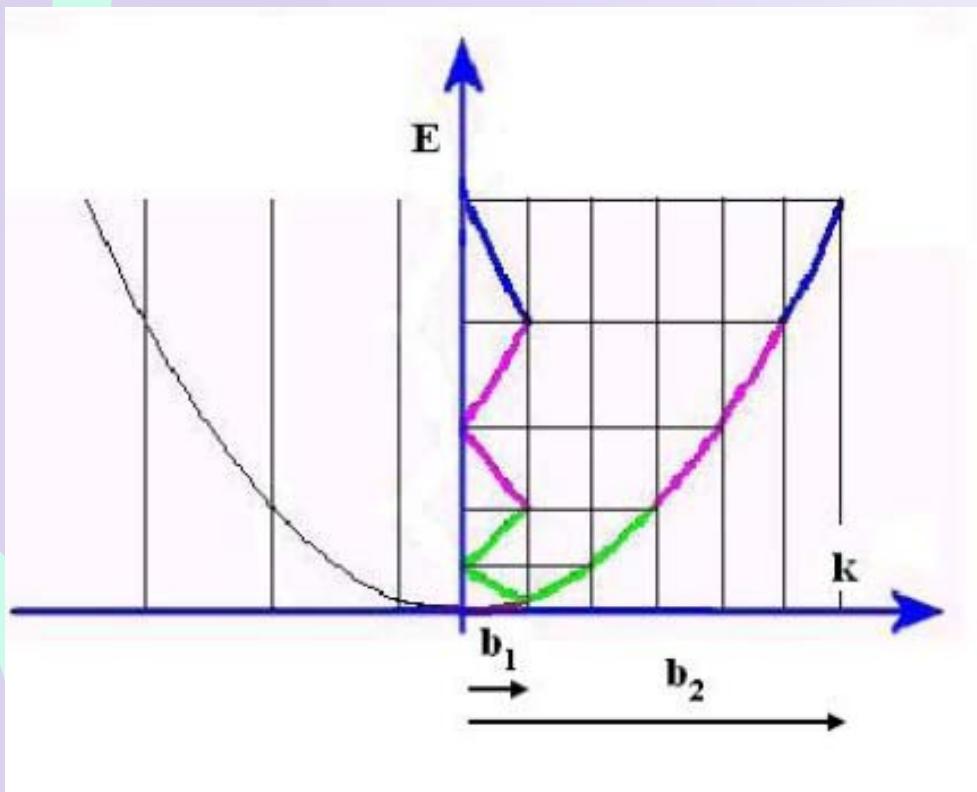
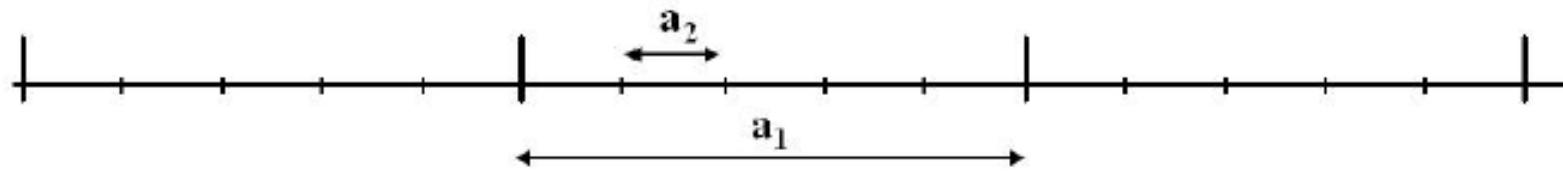
Commute .... if  $\frac{\phi}{2} = q\pi, q \in \mathbb{Z}$  and we can get Bloch functions

Two-fold periodicity: magnetic and spatial cells

Physical meaning: Translation + Lorentz Strength compensation

# Translations and magneto-translations III

## Two-fold periodicity: magnetic and spatial cells



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# SUMMARY

# Magnetic field: summary

No magnetic monopoles:

$$\vec{\nabla} \cdot \vec{B} = 0 \quad \longrightarrow \quad \vec{B} = \vec{\nabla} \wedge \vec{A}$$

vector potential

No conservative field:



velocity-dependent potential:

$$U = -e(\vec{v} \cdot \vec{A})$$

Lagrangian:

$$L = T - U$$

kinematic momentum

Canonical momentum:

$$p_x = \frac{\partial L}{\partial \dot{x}} = \frac{\partial T}{\partial \dot{x}} - \frac{\partial U}{\partial \dot{x}} = \pi_x + e A_x$$

Hamiltonian:

$$H = p \dot{x} - L = T = \frac{\pi^2}{2m} = \frac{1}{2m} (p - e A)^2$$

Coulomb gauge:  $\nabla \cdot \vec{A} = 0$

Hamiltonian operator:

$$H = \frac{\hat{p}^2}{2m} - \frac{e}{m} \vec{A} \cdot \hat{\vec{p}} + \frac{e^2}{2m} \vec{A}^2$$

# Magnetic field: summary (cont.)

axial symmetry

$$\vec{B} = B_0 \vec{k}$$

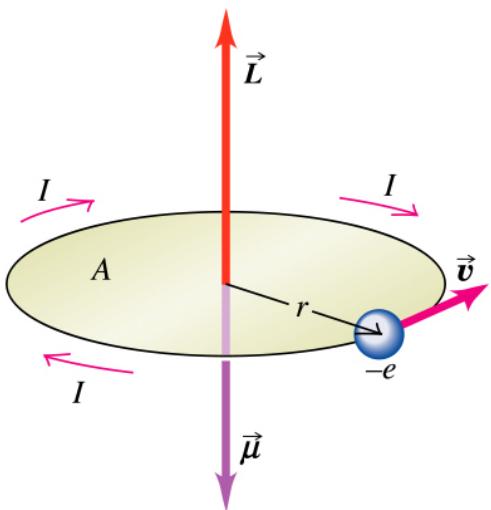
$$\hat{\mathcal{H}} = -\frac{1}{2m_e} \nabla^2 + \frac{B^2}{8m_e} \rho^2 + \frac{BM}{2m_e} + V_e(\rho, z)$$

Relevant at soft confinement (nanoscale and bulk)

dominates at strong confinement (atomic scale)

Spatial confinement

Aharonov-Bhm oscillations in non-simple topologies



$$\vec{\mu} = i\vec{S} = \frac{ev}{2\pi r} \pi r^2 \vec{n} = \frac{evr}{2} \vec{n} = \frac{e}{2m_e} \vec{L}$$

$$W = -\vec{\mu} \vec{B} = -\frac{eB_0}{2m_e} M$$

# Magnetic field: summary (cont.)

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Periodicity and homogeneous magnetic field



Magneto-translations and Super-lattices



B-dependent (super)-lattice constant



Fractal spectrum (Hofstadter butterfly)

